

MEMORANDUM

TO: File No. 4-610

FROM: Alicia F. Goldin
Division of Trading and Markets

DATE: August 4, 2011

RE: Meeting with Representatives of Government Finance Officers Association
(GFOA)

On July 19, 2011, the following representatives of the GFOA:

- Jeff Esser, Executive Director/CEO, GFOA;
- Frank Hoadley, Division of Executive Budget and Finance, State of Wisconsin;
- J. Ben Watkins, III, Director of the Division of Bond Finance, State of Florida;
- Colin MacNaught, Assistant Treasurer for Debt Management, State of Massachusetts;
- Timothy L. Firestine, GFOA Executive Board Member; Chief Administrative Officer, Montgomery County, Maryland; and
- Susan Gaffney, Director, Federal Liaison Center, GFOA

met with Commissioner Elisse B. Walter; Lesli Sheppard and Cyndi Rodriguez from the Office of Commissioner Walter; Dave Sanchez, Mary Simpkins, John McWilliams, and Alicia Goldin from the Division of Trading and Markets; Stanislava Nikolova from the Division of Risk, Strategy and Financial Innovation and Jenifer Minke-Girard and Lisa Tapley from the Office of the Chief Accountant to discuss issues related to the municipal securities market, including, among other things, disclosure issues.